

# A CHARACTERIZATION OF PARACOMPACTNESS OF LEXICOGRAPHIC PRODUCTS

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ABSTRACT. It was known that lexicographic products of paracompact LOTS's are also paracompact, see [2]. After then in [3], the notion of the lexicographic products of GO-spaces is defined and the result above is extended for lexicographic products of GO-spaces and it is asked when lexicographic products of GO-spaces are paracompact. For this question, paracompactness of lexicographic products of some special cases below are characterized in [4]:

- lexicographic products of two GO-spaces,
- lexicographic products of any length of ordinal subspaces.

In this paper, we will give a complete answer of the question above in [3]. As corollaries, we will see :

- if  $\gamma$  is a limit ordinal and  $X_\alpha$  has neither minimal nor maximal elements for every  $\alpha < \gamma$ , then the lexicographic product  $\prod_{\alpha < \gamma} X_\alpha$  is paracompact,
- the lexicographic product  $\mathbb{S} \times \omega_1$  is not paracompact but the lexicographic products  $\omega_1 \times \mathbb{S}$  and  $\mathbb{S} \times \omega_1 \times \mathbb{S} \times \omega_1 \times \cdots$  are paracompact, where  $\mathbb{S}$  denotes the Sorgenfrey line,
- the lexicographic products  $\omega \times \omega_1 \times \mathbb{I}$  and  $\mathbb{I} \times \omega \times \omega_1$  are paracompact but the lexicographic product  $\omega \times \mathbb{I} \times \omega_1$  is not paracompact, where  $\mathbb{I}$  denotes the unit interval  $[0, 1]$  in the real line  $\mathbb{R}$ .
- the lexicographic product  $\omega_1 \times (-\omega_1) \times \omega_1 \times (-\omega_1) \times \cdots$  is paracompact, where for a GO-space  $X = \langle X, <_X, \tau_X \rangle$ ,  $-X$  denotes the GO-space  $\langle X, >_X, \tau_X \rangle$ .

## 1. INTRODUCTION

All spaces are assumed to be regular  $T_1$  and when we consider a product  $\prod_{\alpha < \gamma} X_\alpha$ , all  $X_\alpha$  are assumed to have cardinality at least 2 with  $\gamma \geq 2$ . Set theoretical and topological terminology follow [5] and [1].

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A linearly ordered set  $\langle L, <_L \rangle$  has a natural topology  $\lambda_L$ , which is called an interval topology, generated by  $\{(\leftarrow, x)_L : x \in L\} \cup \{(x, \rightarrow)_L : x \in L\}$  as a subbase, where  $(x, \rightarrow)_L = \{z \in L : x <_L z\}$ ,  $(x, y)_L = \{z \in L : x <_L z <_L y\}$ ,  $(x, y]_L = \{z \in L : x <_L z \leq_L y\}$  and so on. The triple  $\langle L, <_L, \lambda_L \rangle$ , which is simply denoted by  $L$ , is called a *LOTS*.

A triple  $\langle X, <_X, \tau_X \rangle$  is said to be a *GO-space*, which is also simply denoted by  $X$ , if  $\langle X, <_X \rangle$  is a linearly ordered set and  $\tau_X$  is a  $T_2$ -topology on  $X$  having a base consisting of convex sets, where a subset  $C$  of  $X$  is *convex* if for every  $x, y \in C$  with  $x <_X y$ ,  $[x, y]_X \subset C$  holds. For more information on LOTS's or GO-spaces, see [6]. Usually  $<_L$ ,  $(x, y)_L$ ,  $\lambda_L$  or  $\tau_X$  are written simply  $<$ ,  $(x, y)$ ,  $\lambda$  or  $\tau$  if contexts are clear.

$\omega$  and  $\omega_1$  denote the first infinite ordinal and the first uncountable ordinal, respectively. Ordinals, which are usually denoted by Greek letters  $\alpha, \beta, \gamma, \dots$ , are considered to be LOTS's with the usual interval topology. For a subset  $A$  of an ordinal  $\alpha$ ,  $\text{Lim}(A)$  denotes the set  $\{\beta < \alpha : \beta = \sup(A \cap \beta)\}$ , that is, the set of all cluster points of  $A$  in the topological space  $\alpha$ .

For GO-spaces  $X = \langle X, <_X, \tau_X \rangle$  and  $Y = \langle Y, <_Y, \tau_Y \rangle$ ,  $X$  is said to be a *subspace* of  $Y$  if  $X \subset Y$ , the linear order  $<_X$  is the restriction  $<_Y \upharpoonright X$  of the order  $<_Y$  and the topology  $\tau_X$  is the subspace topology  $\tau_Y \upharpoonright X (= \{U \cap X : U \in \tau_Y\})$  on  $X$  of the topology  $\tau_Y$ . So a subset of a GO-space is naturally considered as a GO-space. For every GO-space  $X$ , there is a LOTS  $X^*$  such that  $X$  is a dense subspace of  $X^*$  and  $X^*$  has the property that if  $L$  is a LOTS containing  $X$  as a dense subspace, then  $L$  also contains the LOTS  $X^*$  as a subspace, see [7]. Such a  $X^*$  is called the *minimal  $d$ -extension of a GO-space  $X$* . The construction of  $X^*$  is also shown in [3]. Obviously, we can see:

- if  $X$  is a LOTS, then  $X^* = X$ ,
- $X$  has a maximal element  $\max X$  if and only if  $X^*$  has a maximal element  $\max X^*$ , in this case,  $\max X = \max X^*$  (similarly for minimal elements).

For every  $\alpha < \gamma$ , let  $X_\alpha$  be a LOTS and  $X = \prod_{\alpha < \gamma} X_\alpha$ . Every element  $x \in X$  is identified with the sequence  $\langle x(\alpha) : \alpha < \gamma \rangle$ . The lexicographic order  $<_X$  on  $X$  is defined as follows: for every  $x, x' \in X$ ,

$$x <_X x' \text{ iff for some } \alpha < \gamma, x \upharpoonright \alpha = x' \upharpoonright \alpha \text{ and } x(\alpha) <_{X_\alpha} x'(\alpha),$$

where  $x \upharpoonright \alpha = \langle x(\beta) : \beta < \alpha \rangle$  and  $<_{X_\alpha}$  is the order on  $X_\alpha$ . Now for every  $\alpha < \gamma$ , let  $X_\alpha$  be a GO-space and  $X = \prod_{\alpha < \gamma} X_\alpha$ . The subspace  $X$  of the lexicographic product  $\hat{X} = \prod_{\alpha < \gamma} X_\alpha^*$  is said to be the *lexicographic product of GO-spaces  $X_\alpha$ 's*, for more details see [3].

$\prod_{i \in \omega} X_i$  ( $\prod_{i \leq n} X_i$  where  $n \in \omega$ ) is denoted by  $X_0 \times X_1 \times X_2 \times \cdots$  ( $X_0 \times X_1 \times X_2 \times \cdots \times X_n$ , respectively).  $\prod_{\alpha < \gamma} X_\alpha$  is also denoted by  $X^\gamma$  whenever  $X_\alpha = X$  for all  $\alpha < \gamma$ .

Let  $X$  and  $Y$  be LOTS's. A map  $f : X \rightarrow Y$  is said to be *order preserving* or *0-order preserving* if  $f(x) <_Y f(x')$  whenever  $x <_X x'$ . Similarly a map  $f : X \rightarrow Y$  is said to be *order reversing* or *1-order preserving* if  $f(x) >_Y f(x')$  whenever  $x <_X x'$ . Obviously a 0-order preserving map (also 1-order preserving map)  $f : X \rightarrow Y$  between LOTS's  $X$  and  $Y$ , which is onto, is a homeomorphism, i.e., both  $f$  and  $f^{-1}$  are continuous. Now let  $X$  and  $Y$  be GO-spaces. A 0-order preserving map  $f : X \rightarrow Y$  is said to be *0-order preserving embedding* if  $f$  is a homeomorphism between  $X$  and  $f[X]$ , where  $f[X]$  is the subspace of the GO-space  $Y$ . In this case, we identify  $X$  with  $f[X]$  as a GO-space and write  $X = f[X]$ .

Recall that a subset of a regular uncountable cardinal  $\kappa$  is called *stationary* if it intersects with all closed unbounded (= club) sets in  $\kappa$ .

Let  $X$  be a GO-space. A subset  $A$  of  $X$  is called a *0-segment* of  $X$  if for every  $x, x' \in X$  with  $x \leq x'$ , if  $x' \in A$ , then  $x \in A$ . A *0-segment*  $A$  is said to be *bounded* if  $X \setminus A$  is non-empty. Similarly the notion of (bounded) 1-segment can be defined. Both  $\emptyset$  and  $X$  are 0-segments and 1-segments.

Let  $A$  be a 0-segment of a GO-space  $X$ . A subset  $U$  of  $A$  is *unbounded in  $A$*  if for every  $x \in A$ , there is  $x' \in U$  such that  $x \leq x'$ . Let

$$0\text{-cf}_X A = \min\{|U| : U \text{ is unbounded in } A\}.$$

$0\text{-cf}_X A$  can be 0, 1 or regular infinite cardinals. If contexts are clear,  $0\text{-cf}_X A$  is denoted by  $0\text{-cf } A$ . A 0-segment  $A$  of a GO-space  $X$  is said to be *stationary* if  $\kappa := 0\text{-cf } A \geq \omega_1$  and there are a stationary set  $S$  of  $\kappa$  and a continuous map  $\pi : S \rightarrow A$  such that  $\pi[S]$  is unbounded in  $A$  (we say such a  $\pi$  “an unbounded continuous map”).

A GO-space  $X$  is said to be (*boundedly*) *0-paracompact* if every (bounded, respectively) closed 0-segment is not stationary. Similarly the notions of 1-cf  $A$ , stationarity of a 1-segment and (bounded) 1-paracompactness are defined. Note that a GO-space  $X$  is 0-paracompact if and only if it is boundedly 0-paracompact and the 0-segment  $X$  is not stationary. Remember that a GO-space is paracompact if and only if it is both 0-paracompact and 1-paracompact, see [3]. We frequently use the following basic 4 lemmas from [4], where a subset  $H$  of a GO-space  $X$  is *0-closed* if for every  $x \in X \setminus H$ , there is an open neighborhood  $U$  of  $x$  such that  $(U \cap (\leftarrow, x]) \cap H = \emptyset$ .

**Lemma 1.1.** [4, Lemma 2.7] *Let  $A$  be a 0-segment of a GO-space  $X$  with  $\kappa := 0\text{-cf } A \geq \omega_1$ . If there are a stationary set  $S$  of  $\kappa$  and an unbounded continuous map  $\pi : S \rightarrow A$ , then:*

- (1) *there is a club set  $C$  in  $\kappa$  such that  $\pi \upharpoonright (S \cap C) : S \cap C \rightarrow A$  is 0-order preserving embedding,*
- (2) *if  $H$  is 0-club (= 0-closed and unbounded) in  $A$ , then there is a club set  $C$  in  $\kappa$  such that  $\pi[S \cap C] \subset H$ .*

**Lemma 1.2.** [4, Lemma 3.4] *Let  $X = X_0 \times X_1$  be a lexicographic product of GO-spaces and  $u \in X_0$ . Then the map  $k_u : X_1 \rightarrow \{u\} \times X_1$  by  $k_u(v) = \langle u, v \rangle$  is a 0-order preserving homeomorphism.*

This lemma shows that the 0-segment  $X$  is stationary iff the 0-segment  $X_1$  is stationary, whenever  $X_0$  has a maximal element.

**Lemma 1.3.** [4, Lemma 3.6] *Let  $X = X_0 \times X_1$  be a lexicographic product of GO-spaces and  $A_0$  a 0-segment of  $X_0$ . Put  $A = A_0 \times X_1$ . Then the following hold:*

- (1)  *$A$  is a 0-segment of  $X$ ,*
- (2) *if  $0\text{-cf}_{X_0} A_0 = 1$ , then*
  - (a)  $0\text{-cf}_X A = 0\text{-cf}_{X_1} X_1$ ,
  - (b)  *$A$  is stationary if and only if the 0-segment  $X_1$  is stationary,*
  - (c)  *$A$  is closed in  $X$  if and only if either  $X_1$  has a maximal element,  $X_0 \setminus A_0$  has no minimal element or  $X_1$  has no minimal element,*
- (3) *if  $0\text{-cf}_{X_0} A_0 \geq \omega$ , then*
  - (a)  $0\text{-cf}_X A = 0\text{-cf}_{X_0} A_0$ ,
  - (b)  *$A$  is stationary if and only if  $X_1$  has a minimal element and  $A_0$  is stationary,*
  - (c)  *$A$  is closed in  $X$  if and only if either  $X_1$  has no minimal element or  $A_0$  is closed in  $X_0$ .*

**Lemma 1.4.** [4, Theorem 3.8] *Let  $X = X_0 \times X_1$  be a lexicographic product of GO-spaces. The following are equivalent:*

- (1)  *$X$  is 0-paracompact,*
- (2)
  - (a)  *$X_1$  is boundedly 0-paracompact,*
  - (b) *if either  $(u, \rightarrow)_{X_0}$  has no minimal element for some  $u \in X_0$  or  $X_1$  has no minimal element, then the 0-segment  $X_1$  is not stationary,*
  - (c) *if  $X_1$  has a minimal element, then  $X_0$  is 0-paracompact.*

In this lemma, if  $X_0$  has a maximal element, then  $(\max X_0, \rightarrow)_{X_0}$  is considered to have no minimal element because of  $(\max X_0, \rightarrow)_{X_0} = \emptyset$ .

Using the lemmas above, we will give a complete characterization of paracompactness of lexicographic products.

## 2. A CHARACTERIZATION

Let  $X = \prod_{\alpha < \gamma} X_\alpha$  be a lexicographic product of GO-spaces. We use the following special notations.

$$J^+ = \{\alpha < \gamma : X_\alpha \text{ has no maximal element.}\},$$

$$J^- = \{\alpha < \gamma : X_\alpha \text{ has no minimal element.}\},$$

$$K^+ = \{\alpha < \gamma : \text{there is } x \in X_\alpha \text{ such that } (x, \rightarrow)_{X_\alpha} \text{ is non-empty} \\ \text{and has no minimal element.}\},$$

$$K^- = \{\alpha < \gamma : \text{there is } x \in X_\alpha \text{ such that } (\leftarrow, x)_{X_\alpha} \text{ is non-empty} \\ \text{and has no maximal element.}\}$$

Let  $\alpha$  be an ordinal and let

$$l(\alpha) = \begin{cases} 0 & \text{if } \alpha < \omega, \\ \sup\{\beta \leq \alpha : \beta \text{ is limit.}\} & \text{if } \alpha \geq \omega. \end{cases}$$

Note that  $l(\alpha)$  is the largest limit ordinal less than or equal to  $\alpha$  whenever  $\alpha \geq \omega$ . So the interval  $[l(\alpha), \alpha)$  of ordinals is finite, thus every ordinal  $\alpha$  can be uniquely represented as  $l(\alpha) + n(\alpha)$  for some  $n(\alpha) \in \omega$ .

**Theorem 2.1.** *Let  $X = \prod_{\alpha < \gamma} X_\alpha$  be a lexicographic product of GO-spaces. Then the following are equivalent:*

- (1)  $X$  is 0-paracompact,
- (2) for every ordinal  $\alpha < \gamma$  with  $\sup J^- \leq \alpha$ , the following hold:
  - (a)  $X_\alpha$  is boundedly 0-paracompact,
  - (b) in each of the following cases, the 0-segment  $X_\alpha$  is not stationary,
    - (i)  $J^+ \cap [l(\alpha), \alpha) = \emptyset$ ,
    - (ii)  $J^+ \cap [l(\alpha), \alpha) \neq \emptyset$  and  $J^- \cap (\alpha', \alpha] \neq \emptyset$ ,
    - (iii)  $J^+ \cap [l(\alpha), \alpha) \neq \emptyset$  and  $K^+ \cap [\alpha', \alpha) \neq \emptyset$ ,
 where  $\alpha' = \max(J^+ \cap [l(\alpha), \alpha))$  in case  $J^+ \cap [l(\alpha), \alpha) \neq \emptyset$ .

Notice that in (ii) or (iii) above,  $\alpha'$  is well-defined since  $[l(\alpha), \alpha)$  is a finite set.

*Proof.* (1)  $\Rightarrow$  (2): Let  $X$  be 0-paracompact and  $\alpha_0$  an ordinal with  $\sup J^- \leq \alpha_0 < \gamma$  and  $Y = \prod_{\alpha \leq \alpha_0} X_\alpha$ . Note that if  $(\alpha_0, \gamma) \neq \emptyset$ , then  $\prod_{\alpha_0 < \alpha} X_\alpha$  has a minimal element because of  $\sup J^- \leq \alpha_0$ . Remark that when  $(\alpha_0, \gamma) = \emptyset$ ,  $\prod_{\alpha_0 < \alpha} X_\alpha$  is considered as the trivial one point LOTS  $\{\emptyset\}$ . Also for a GO-space  $Z$ ,  $Z \times \{\emptyset\}$  and  $\{\emptyset\} \times Z$  are identified with

$Z$ . When  $(\alpha_0, \gamma) = \emptyset$ , then  $\prod_{\alpha \leq \alpha_0} X_\alpha (= X)$  is itself 0-paracompact. When  $(\alpha_0, \gamma) \neq \emptyset$ , then by  $X = (\prod_{\alpha \leq \alpha_0} X_\alpha) \times (\prod_{\alpha_0 < \alpha} X_\alpha)$  (see [3, Lemma 1.5]) and Lemma 1.4 (2c),  $\prod_{\alpha \leq \alpha_0} X_\alpha$  is 0-paracompact. Thus in both cases  $Y$  is 0-paracompact.

(a): By  $Y = (\prod_{\alpha < \alpha_0} X_\alpha) \times X_{\alpha_0}$ , it follows from Lemma 1.4 (2a) that  $X_{\alpha_0}$  is boundedly 0-paracompact, where  $\prod_{\alpha < \alpha_0} X_\alpha = \{\emptyset\}$  whenever  $\alpha_0 = 0$ .

(b): In each cases of (i), (ii) and (iii) above, we will see that the 0-segment  $X_{\alpha_0}$  is non-stationary. In case  $\alpha_0 \notin J^+$ , it is trivial that  $X_{\alpha_0}$  is non-stationary since 0- of  $X_{\alpha_0} = 1$ . So we consider the case that  $\alpha_0 \in J^+$ . Let  $Y_0 = \prod_{\alpha < \alpha_0} X_\alpha$ .

(i):  $J^+ \cap [l(\alpha_0), \alpha_0) = \emptyset$ .

When  $\alpha_0 = 0$ ,  $X_{\alpha_0} (= Y)$  is 0-paracompact thus the 0-segment  $X_{\alpha_0}$  is non-stationary. So let  $\alpha_0 > 0$ . Then  $|Y_0| \geq 2$  and  $Y = Y_0 \times X_{\alpha_0}$ .

**Case 1.**  $l(\alpha_0) = 0$ , i.e.,  $0 < \alpha_0 < \omega$ .

In our case (i),  $X_\alpha$  has a maximal element for every  $\alpha < \alpha_0$ . So let  $y_0 = \langle \max X_\alpha : \alpha < \alpha_0 \rangle$ , that is,  $y_0$  is the element of  $Y_0$  with  $y_0(\alpha) = \max X_\alpha$  for every  $\alpha < \alpha_0$ . Then  $y_0 = \max Y_0$  thus  $(y_0, \rightarrow)_{Y_0}$  is empty and has no minimal element. Therefore by Lemma 1.4 (2b), the 0-segment  $X_{\alpha_0}$  is non-stationary.

**Case 2.**  $l(\alpha_0) \geq \omega$ .

In this case,  $X_\alpha$  has a maximal element for every  $\alpha \in [l(\alpha_0), \alpha_0)$ . For every  $\alpha < l(\alpha_0)$ , fix  $x_0(\alpha), x_1(\alpha) \in X_\alpha$  with  $x_0(\alpha) <_{X_\alpha} x_1(\alpha)$ . Let  $y_0 = \langle x_0(\alpha) : \alpha < l(\alpha_0) \rangle \wedge \langle \max X_\alpha : l(\alpha_0) \leq \alpha < \alpha_0 \rangle$ , that is,  $y_0$  is the element of  $Y_0$  such that  $y_0(\alpha) = x_0(\alpha)$  for every  $\alpha < l(\alpha_0)$ , and  $y_0(\alpha) = \max X_\alpha$  for every  $\alpha < \alpha_0$  with  $l(\alpha_0) \leq \alpha$ . Here, when  $l(\alpha_0) = \alpha_0$ , i.e.,  $\alpha_0$  is limit,  $y_0$  is considered as  $\langle x_0(\alpha) : \alpha < l(\alpha_0) \rangle$ . More generally,  $z \wedge \emptyset$  and  $\emptyset \wedge z$  will be identified with  $z$  whenever  $z$  is a sequence. Moreover for every  $\beta < l(\alpha_0)$ , let  $z_\beta = \langle x_0(\alpha) : \alpha < \beta \rangle \wedge \langle x_1(\alpha) : \beta \leq \alpha < l(\alpha_0) \rangle \wedge \langle \max X_\alpha : l(\alpha_0) \leq \alpha < \alpha_0 \rangle$ . Then the sequence  $\{z_\beta : \beta < l(\alpha_0)\}$  is 1-order preserving (i.e., strictly decreasing) and unbounded in the 1-segment  $(y_0, \rightarrow)_{Y_0}$ . Therefore  $(y_0, \rightarrow)_{Y_0}$  has no minimal element, so again by Lemma 1.4 (2b), the 0-segment  $X_{\alpha_0}$  is non-stationary.

(ii):  $J^+ \cap [l(\alpha_0), \alpha_0) \neq \emptyset$  and  $J^- \cap (\alpha_1, \alpha_0] \neq \emptyset$ , where  $\alpha_1 = \max(J^+ \cap [l(\alpha_0), \alpha_0))$ .

Note that  $J^+ \cap [l(\alpha_0), \alpha_0)$  is non-empty and finite, therefore  $\alpha_1$  is well-defined. Let  $\alpha_2 = \max((\alpha_1, \alpha_0] \cap J^-)$ . Then  $0 \leq l(\alpha_0) \leq \alpha_1 < \alpha_2 \leq \alpha_0$  holds, in particular we have  $[0, \alpha_2) \neq \emptyset$ . We consider two cases.

**Case 1.**  $\alpha_2 = \alpha_0$ .

In this case, since  $Y = (\prod_{\alpha < \alpha_0} X_\alpha) \times X_{\alpha_0} (= Y_0 \times X_{\alpha_0})$ ,  $Y$  is 0-paracompact and  $X_{\alpha_0}$  has no minimal element, by Lemma 1.4 (2b), the 0-segment  $X_{\alpha_0}$  is non-stationary.

**Case 2.**  $\alpha_2 < \alpha_0$ .

In this case, note that  $X_\alpha$  has a minimal element for every  $\alpha \in (\alpha_2, \alpha_0]$ . Now let  $Z_0 = \prod_{\alpha < \alpha_2} X_\alpha$  and fix an element  $z_0 \in Z_0$ . Noting that  $X_\alpha$  has a maximal element for every  $\alpha \in [\alpha_2, \alpha_0)$ , let  $y_0 = z_0 \wedge \langle \max X_\alpha : \alpha_2 \leq \alpha < \alpha_0 \rangle$ , which is an element of  $Y_0$ . We prove:

**Claim 1.**  $(y_0, \rightarrow)_{Y_0}$  is non-empty and has no minimal element.

*Proof.* From  $\alpha_1 \in J^+$ , fixing  $u \in X_{\alpha_1}$  with  $y_0(\alpha_1) < u$ , let  $y_1 = (y_0 \upharpoonright \alpha_1) \wedge \langle u \rangle \wedge (y_0 \upharpoonright (\alpha_1, \alpha_0))$ . Then  $y_0 <_{Y_0} y_1$  holds, so  $(y_0, \rightarrow)_{Y_0} \neq \emptyset$ . To see that  $(y_0, \rightarrow)_{Y_0}$  has no minimal element, let  $y \in (y_0, \rightarrow)_{Y_0}$ . Then we have  $y_0 \upharpoonright \alpha_2 <_{Z_0} y \upharpoonright \alpha_2$ . Since  $X_{\alpha_2}$  has no minimal element, fixing  $v \in X_{\alpha_2}$  with  $v < y(\alpha_2)$ , we see  $y_0 <_{Y_0} (y \upharpoonright \alpha_2) \wedge \langle v \rangle \wedge (y \upharpoonright (\alpha_2, \alpha_0)) <_{Y_0} y$ .  $\square$

Since  $Y_0 \times X_{\alpha_0} (= Y)$  is 0-paracompact, it follows from the claim above and Lemma 1.4 (2b) that  $X_{\alpha_0}$  is non-stationary.

(iii):  $J^+ \cap [l(\alpha_0), \alpha_0) \neq \emptyset$  and  $K^+ \cap [\alpha_1, \alpha_0) \neq \emptyset$ , where  $\alpha_1 = \max(J^+ \cap [l(\alpha_0), \alpha_0))$ .

Let  $\alpha_2 = \max([\alpha_1, \alpha_0) \cap K^+)$  and  $Z_0 = \prod_{\alpha < \alpha_2} X_\alpha$ . Then  $l(\alpha_0) \leq \alpha_1 \leq \alpha_2 < \alpha_0$  holds. By  $\alpha_2 \in K^+$ , one can fix  $u \in X_{\alpha_2}$  such that  $(u, \rightarrow)_{X_{\alpha_2}}$  is non-empty and has no minimal element. Fixing  $z_0 \in Z_0$ , let  $y_0 = z_0 \wedge \langle u \rangle \wedge \langle \max X_\alpha : \alpha_2 < \alpha < \alpha_0 \rangle$ . Then  $y_0 \in Y_0$ ,  $(y_0, \rightarrow)_{Y_0}$  is non-empty and has no minimal element. Since  $(\prod_{\alpha < \alpha_0} X_\alpha) \times X_{\alpha_0} (= Y)$  is 0-paracompact, from Lemma 1.4 (2b), the 0-segment  $X_{\alpha_0}$  is non-stationary.

(2)  $\Rightarrow$  (1): Assume that (2) holds but  $X$  is not 0-paracompact. Then there is a closed stationary 0-segment  $A$  of  $X$ . Letting  $\kappa = 0\text{-cf}_X A$  ( $\geq \omega_1$ ), fix a stationary set  $S \subset \kappa$  and an unbounded continuous map  $\pi : S \rightarrow A$ . By Lemma 1.1 (1), we may assume that  $\pi$  is 0-order preserving. We consider several cases, and in all cases we will derive a contradiction.

**Case 1.**  $A = X$ .

Since  $A$  has no maximal element and  $A = X$ ,  $J^+$  is non-empty, so let  $\alpha_0 = \min J^+$ . It follows from  $\alpha_0 \in J^+$  and  $J^+ \cap [l(\alpha_0), \alpha_0) \subset J^+ \cap [0, \alpha_0) = \emptyset$  that (bi) in this theorem is satisfied, therefore  $\sup J^- \leq \alpha_0$  implies that the 0-segment  $X_{\alpha_0}$  is non-stationary. When  $(\alpha_0, \gamma) = \emptyset$  (i.e.,  $\gamma = \alpha_0 + 1$ ), the 0-segment  $X$  ( $= \prod_{\alpha \leq \alpha_0} X_\alpha$ ) in  $X$  is stationary and  $\sup J^- \leq \alpha_0$ . When  $(\alpha_0, \gamma) \neq \emptyset$ , noting that  $\prod_{\alpha \leq \alpha_0} X_\alpha$  has no maximal element, by Lemma 1.3 (3b), we see that  $\prod_{\alpha_0 < \alpha} X_\alpha$  has a minimal element (therefore  $\sup J^- \leq \alpha_0$ ) and the 0-segment  $\prod_{\alpha \leq \alpha_0} X_\alpha$  in  $\prod_{\alpha \leq \alpha_0} X_\alpha$  is stationary. In either cases,  $\sup J^- \leq \alpha_0$  and the 0-segment  $\prod_{\alpha \leq \alpha_0} X_\alpha$  in  $\prod_{\alpha \leq \alpha_0} X_\alpha$  is stationary. Since  $X_{\alpha_0}$  is non-stationary, we have  $\alpha_0 > 0$ . Now it follows from  $\prod_{\alpha \leq \alpha_0} X_\alpha = (\prod_{\alpha < \alpha_0} X_\alpha) \times X_{\alpha_0}$  and the minimality of  $\alpha_0$  that  $\prod_{\alpha < \alpha_0} X_\alpha$  has a maximal element. Therefore from Lemma 1.2, the 0-segment  $X_{\alpha_0}$  in  $X_{\alpha_0}$  is stationary, a contradiction.

**Case 2.**  $A \neq X$  and  $X \setminus A$  has a minimal element.

Let  $B = X \setminus A$  and  $b = \min B$ . Since  $A$  is non-empty closed and  $B = [b, \rightarrow)_X$ , there is  $b^* \in \hat{X}$  such that  $b^* <_{\hat{X}} b$  and  $(b^*, b)_{\hat{X}} \cap X = \emptyset$ , where  $\hat{X} = \prod_{\alpha < \gamma} X_\alpha^*$ . Since  $A$  has no maximal element, we have  $b^* \notin X$ . Let  $\alpha_0 = \min\{\alpha < \gamma : b^*(\alpha) \neq b(\alpha)\}$ , then  $b^* \upharpoonright \alpha_0 = b \upharpoonright \alpha_0$  and  $b^*(\alpha_0) < b(\alpha_0)$  hold.

**Claim 2.** For every  $\alpha > \alpha_0$ ,  $X_\alpha$  has a minimal element and  $b(\alpha) = \min X_\alpha$  holds, in particular,  $\sup J^- \leq \alpha_0$ .

*Proof.* Assume that there are  $\alpha > \alpha_0$  and  $u \in X_\alpha$  with  $b(\alpha) > u$ . Let  $\alpha_1 = \min\{\alpha > \alpha_0 : b(\alpha) > u \text{ for some } u \in X_\alpha\}$  and take  $u \in X_{\alpha_1}$  with  $b(\alpha) > u$ . Then we have  $b^* <_{\hat{X}} (b \upharpoonright \alpha_1) \wedge \langle u \rangle \wedge (b \upharpoonright (\alpha_1, \gamma)) <_X b$ , which means  $(b^*, b)_{\hat{X}} \cap X \neq \emptyset$ , a contradiction.  $\square$

**Claim 3.**  $(b^*(\alpha_0), b(\alpha_0))_{X_{\alpha_0}^*} \cap X_{\alpha_0} = \emptyset$ .

*Proof.* If there were  $u \in (b^*(\alpha_0), b(\alpha_0))_{X_{\alpha_0}^*} \cap X_{\alpha_0}$ , then we have  $(b \upharpoonright \alpha_0) \wedge \langle u \rangle \wedge (b \upharpoonright (\alpha_0, \gamma)) \in (b^*, b)_{\hat{X}} \cap X$ , a contradiction.  $\square$

**Claim 4.**  $[b(\alpha_0), \rightarrow)_{X_{\alpha_0}} \notin \lambda_{X_{\alpha_0}}$ .

*Proof.* Since  $b^*(\alpha_0) <_{X_{\alpha_0}^*} b(\alpha_0)$  and  $X_{\alpha_0}$  is dense in  $X_{\alpha_0}^*$ , we have  $(\leftarrow, b(\alpha_0))_{X_{\alpha_0}} \neq \emptyset$ . Assuming  $[b(\alpha_0), \rightarrow)_{X_{\alpha_0}} \in \lambda_{X_{\alpha_0}}$ , find  $u \in X_{\alpha_0}$  with  $u < b(\alpha_0)$  and  $(u, b(\alpha_0))_{X_{\alpha_0}} = \emptyset$ . It follows from Claim 3 that  $b^*(\alpha_0) = u \in X_{\alpha_0}$ . Now a similar argument of the proof of Claim 2 shows



that for every  $\alpha > \alpha_0$ ,  $b^*(\alpha) = \max X_\alpha$  which implies  $b^* \in X$ , a contradiction.  $\square$

Let  $A_0 = (\leftarrow, b(\alpha_0))_{X_{\alpha_0}}$ . Then Claim 4 with  $A_0 = (\leftarrow, b^*(\alpha_0)]_{X_{\alpha_0}^*} \cap X_{\alpha_0}$  shows that  $A_0$  is a bounded closed 0-segment of  $X_{\alpha_0}$  with no maximal element. By our assumption (2a) and  $\sup J^- \leq \alpha_0$ , the 0-segment  $A_0$  is non-stationary.

**Claim 5.**  $H = \{x \in X : x \upharpoonright \alpha_0 = b \upharpoonright \alpha_0, x(\alpha_0) \in A_0, x \upharpoonright (\alpha_0, \gamma) = b \upharpoonright (\alpha_0, \gamma)\}$  is 0-club in  $A$ .

*Proof.*  $H \subset A$  is obvious.

To see that  $H$  is unbounded in the 0-segment  $A$  in  $X$ , let  $a \in A (= (\leftarrow, b)_X)$ . Put  $\beta_0 = \min\{\alpha < \gamma : a(\alpha) \neq b(\alpha)\}$ . Since  $b(\alpha) = \min X_\alpha$  for every  $\alpha > \alpha_0$ , we have  $\beta_0 \leq \alpha_0$ . When  $\beta_0 < \alpha_0$ , fix  $u \in A_0$ . When  $\beta_0 = \alpha_0$ , fix  $u \in A_0$  with  $a(\alpha_0) < u$ . In both cases, we have  $a < (b \upharpoonright \alpha_0)^\wedge \langle u \rangle^\wedge (b \upharpoonright (\alpha_0, \gamma)) \in H$ , thus  $H$  is unbounded in the 0-segment  $A$ .

To see that  $H$  is 0-closed, let  $a \in X \setminus H$ . Since  $A$  is closed in  $X$  and  $H \subset A$ , we may assume  $a \in A \setminus H$ . Put  $\beta_0 = \min\{\alpha < \gamma : a(\alpha) \neq b(\alpha)\}$ , then we have  $\beta_0 \leq \alpha_0$  as above. When  $\beta_0 < \alpha_0$ , letting  $U = X$ , we see  $H \cap (U \cap (\leftarrow, a]) = \emptyset$ . So let  $\beta_0 = \alpha_0$ . By  $a \upharpoonright \alpha_0 = b \upharpoonright \alpha_0, a(\alpha_0) \in A_0$  and  $a \notin H$ , we can find  $\alpha > \alpha_0$  such that  $a(\alpha) \neq b(\alpha) (= \min X_\alpha)$ . Let  $\alpha_1 = \min\{\alpha > \alpha_0 : a(\alpha) \neq b(\alpha)\}$ ,  $a' = (a \upharpoonright \alpha_1)^\wedge \langle \min X_\alpha : \alpha_1 \leq \alpha \rangle$  and  $U = (a', \rightarrow)_X$ . It follows from  $a' < a$  that  $U$  is a neighborhood of  $a$ . Obviously  $H \cap (U \cap (\leftarrow, a]) = \emptyset$ , thus we see that  $H$  is 0-club.  $\square$

By Claim 5 and Lemma 1.1 (2), we can find a club set  $C$  in  $\kappa$  with  $\pi[S \cap C] \subset H$ . Define a map  $\sigma : S \cap C \rightarrow A_0$  by  $\sigma(\beta) = \pi(\beta)(\alpha_0)$  for every  $\beta \in S \cap C$ .

**Claim 6.**  $\sigma[S \cap C]$  is unbounded in the 0-segment  $A_0$ .

*Proof.* Let  $u \in A_0$  and  $a = (b \upharpoonright \alpha_0)^\wedge \langle u \rangle^\wedge (b \upharpoonright (\alpha_0, \gamma))$ , then  $a \in A$ . Since  $\pi$  is 0-order preserving and  $\pi[S]$  is unbounded in the 0-segment  $A$ , we can find  $\beta \in S \cap C$  with  $a \leq \pi(\beta)$ . Noting  $\pi(\beta) \in H$ , we see  $u \leq \sigma(\beta)$ .  $\square$

**Claim 7.**  $\sigma$  is continuous.

*Proof.* Let  $\beta \in S \cap C$  and  $U$  be a neighborhood of  $\sigma(\beta)$  in  $X_{\alpha_0}$ . We may assume  $\beta \in \text{Lim}(S \cap C)$ , then note  $(\leftarrow, \sigma(\beta))_{X_{\alpha_0}} \neq \emptyset$ . We can find  $u^* \in X_{\alpha_0}^*$  such that  $u^* < \sigma(\beta)$  and  $(u^*, \sigma(\beta)]_{X_{\alpha_0}^*} \cap X_{\alpha_0} \subset U$ . Let  $x^* = (b \upharpoonright \alpha_0)^\wedge \langle u^* \rangle^\wedge (b \upharpoonright (\alpha_0, \gamma))$ , then  $x^* \in \hat{X}$  and  $x^* < \pi(\beta)$ . Since

$(x^*, \rightarrow)_{\hat{X}} \cap X$  is a neighborhood of  $\pi(\beta)$ , by continuity at  $\pi(\beta)$ , we can find  $\beta_1 < \beta$  such that  $\pi[S \cap (\beta_1, \beta]] \subset (x^*, \rightarrow)_{\hat{X}} \cap X$ . We may assume  $\beta_1 \in S \cap C$  because of  $\beta \in \text{Lim}(S \cap C)$ . Then we can easily verify  $\sigma[S \cap C \cap (\beta_1, \beta]] \subset U$ , which shows that  $\sigma$  is continuous.  $\square$

Now Claims 6 and 7 contradict that the 0-segment  $A_0$  is not stationary.

**Case 3.**  $A \neq X$  and  $X \setminus A$  has no minimal element.

This case is the most complicated case. Let  $B = X \setminus A$  and

$$I = \{\alpha < \gamma : \exists a \in A \exists b \in B (a \upharpoonright (\alpha + 1) = b \upharpoonright (\alpha + 1))\}.$$

Obviously  $I$  is an initial segment (i.e., 0-segment) in  $\gamma$ . Therefore for some  $\alpha_0 \leq \gamma$ ,  $I = \alpha_0$  holds. For every  $\alpha < \alpha_0$ , fix  $a_\alpha \in A$  and  $b_\alpha \in B$  with  $a_\alpha \upharpoonright (\alpha + 1) = b_\alpha \upharpoonright (\alpha + 1)$  and consider the lexicographic products  $Y_0 = \prod_{\alpha < \alpha_0} X_\alpha$  and  $Y_1 = \prod_{\alpha_0 \leq \alpha} X_\alpha$ . Note that  $X = Y_0 \times Y_1$  (see [3, Lemma 1.5]), in particular  $Y_0 = X$  ( $Y_1 = X$ ) whenever  $\alpha_0 = \gamma$  ( $\alpha_0 = 0$ , respectively). Define  $y_0 \in Y_0$  by  $y_0(\alpha) = a_\alpha(\alpha)$  for every  $\alpha < \alpha_0$ .

**Claim 8.** For every  $\alpha < \alpha_0$ ,  $y_0 \upharpoonright (\alpha + 1) = a_\alpha \upharpoonright (\alpha + 1) = b_\alpha \upharpoonright (\alpha + 1)$  holds.

*Proof.* It suffices to see the first equality. Assuming  $y_0 \upharpoonright (\alpha + 1) \neq a_\alpha \upharpoonright (\alpha + 1)$  for some  $\alpha < \alpha_0$ , let  $\alpha_1 = \min\{\alpha < \alpha_0 : y_0 \upharpoonright (\alpha + 1) \neq a_\alpha \upharpoonright (\alpha + 1)\}$ . Moreover let  $\alpha_2 = \min\{\alpha \leq \alpha_1 : y_0(\alpha) \neq a_{\alpha_1}(\alpha)\}$ , then note  $\alpha_2 < \alpha_1$  (because of  $y_0(\alpha_1) = a_{\alpha_1}(\alpha_1)$ ),  $y_0 \upharpoonright \alpha_2 = a_{\alpha_1} \upharpoonright \alpha_2$  and  $y_0(\alpha_2) \neq a_{\alpha_1}(\alpha_2)$ . By the minimality of  $\alpha_1$ , also note  $y_0 \upharpoonright (\alpha_2 + 1) = a_{\alpha_2} \upharpoonright (\alpha_2 + 1)$  ( $= b_{\alpha_2} \upharpoonright (\alpha_2 + 1)$ ). When  $y_0(\alpha_2) < a_{\alpha_1}(\alpha_2)$ , we have  $B \ni b_{\alpha_2} < a_{\alpha_1} \in A$ , a contradiction. When  $y_0(\alpha_2) > a_{\alpha_1}(\alpha_2)$ , we also have  $B \ni b_{\alpha_1} < a_{\alpha_2} \in A$ , a contradiction.  $\square$

**Claim 9.**  $\alpha_0 < \gamma$ .

*Proof.* Assume  $\alpha_0 = \gamma$ , then  $y_0 \in Y_0 = X = A \cup B$ . First assume  $y_0 \in A$ . Since  $A$  has no maximal element, we can take  $a \in A$  with  $y_0 < a$  and set  $\beta_0 = \min\{\beta < \gamma : y_0(\beta) \neq a(\beta)\}$ . Applying Claim 8 with  $\alpha = \beta_0$ , by  $y_0 \upharpoonright \beta_0 = a \upharpoonright \beta_0$  and  $y_0(\beta_0) < a(\beta_0)$ , we see  $B \ni b_{\beta_0} < a \in A$ , a contradiction. Next assume  $y_0 \in B$ . Since  $B$  has no minimal element, take  $b \in B$  with  $b < y_0$ . By a similar argument, we also get a contradiction.  $\square$

Let  $A_0 = \{a(\alpha_0) : a \in A, a \upharpoonright \alpha_0 = y_0\}$  and  $B_0 = \{b(\alpha_0) : b \in B, b \upharpoonright \alpha_0 = y_0\}$ . Of course, whenever  $\alpha_0 = 0$ ,  $y_0$  is considered as  $\emptyset$ ,  $A_0 = \{a(\alpha_0) : a \in A\}$  and  $B_0 = \{b(\alpha_0) : b \in B\}$ .

**Claim 10.** The following properties hold:

- (1) for every  $a \in A$ ,  $a \upharpoonright \alpha_0 \leq_{Y_0} y_0$  holds,
- (2) for every  $x \in X$ , if  $x \upharpoonright \alpha_0 <_{Y_0} y_0$ , then  $x \in A$ .

*Proof.* (1): Assuming  $a \upharpoonright \alpha_0 >_{Y_0} y_0$  for some  $a \in A$ , let  $\beta_0 = \min\{\beta < \alpha_0 : a(\beta) \neq y_0(\beta)\}$ . Then we have  $B \ni b_{\beta_0} < a \in A$ , a contradiction.

(2): Assuming  $x \upharpoonright \alpha_0 <_{Y_0} y_0$ , let  $\beta_0 = \min\{\beta < \alpha_0 : x(\beta) \neq y_0(\beta)\}$ . Then we have  $x < a_{\beta_0} \in A$ . Now since  $A$  is a 0-segment, we see  $x \in A$ .  $\square$

Similarly we see:

**Claim 11.** The following properties hold:

- (1) for every  $b \in B$ ,  $b \upharpoonright \alpha_0 \geq_{Y_0} y_0$  holds,
- (2) for every  $x \in X$ , if  $x \upharpoonright \alpha_0 >_{Y_0} y_0$ , then  $x \in B$ .

**Claim 12.**  $A_0$  is a 0-segment of  $X_{\alpha_0}$  and  $B_0 = X_{\alpha_0} \setminus A_0$ .

*Proof.* To see that  $A_0$  is a 0-segment, let  $u' < u \in A_0$ . Taking  $a \in A$  with  $a \upharpoonright \alpha_0 = y_0$  and  $u = a(\alpha_0)$ , let  $a' = (a \upharpoonright \alpha_0) \wedge \langle u' \rangle \wedge (a \upharpoonright (\alpha_0, \gamma))$ . Since  $A$  is a 0-segment and  $a' < a$ , we have  $a' \in A$ , thus  $u' = a'(\alpha_0) \in A_0$ .

Now we prove  $B_0 = X_{\alpha_0} \setminus A_0$ . First let  $u \in B_0$ . Take  $b \in B$  with  $b \upharpoonright \alpha_0 = y_0$  and  $u = b(\alpha_0)$ . If  $u \in A_0$  were true, then by taking  $a \in A$  with  $a \upharpoonright \alpha_0$  and  $a(\alpha_0) = u$ , we have  $a \upharpoonright (\alpha_0 + 1) = b \upharpoonright (\alpha_0 + 1)$  thus  $\alpha_0 \in I = \alpha_0$ , a contradiction. So we have  $u \in X_{\alpha_0} \setminus A_0$ . Conversely let  $u \in X_{\alpha_0} \setminus A_0$ . Take  $x \in X$  with  $x \upharpoonright (\alpha_0 + 1) = y_0 \wedge \langle u \rangle$ . If  $x \in A$  were true, then by  $x \upharpoonright \alpha_0 = y_0$  and  $x(\alpha_0) = u$ , we see  $u \in A_0$ , a contradiction. Thus we have  $x \in B$ . Now since  $x \upharpoonright \alpha_0 = y_0$ , we see  $u = x(\alpha_0) \in B_0$ .  $\square$

**Claim 13.**  $A_0 \neq \emptyset$ .

*Proof.* Assume  $A_0 = \emptyset$ . We prove the following three facts.

**Fact 1.**  $(\leftarrow, y_0)_{Y_0} \times Y_1 = A$ .

*Proof.* The inclusion “ $\subset$ ” follows from Claim 10 (2). To see the other inclusion, let  $a \in A$ , then by Claim 10(1), we have  $a \upharpoonright \alpha_0 \leq y_0$ . If  $a \upharpoonright \alpha_0 = y_0$  were true, then  $a(\alpha_0) \in A_0$  holds, which contradicts  $A_0 = \emptyset$ .  $\square$

**Fact 2.**  $\alpha_0 > 0$  and  $\alpha_0$  is limit.

*Proof.* If  $\alpha_0 = 0$  were true, then taking  $a \in A$ , we see  $a(\alpha_0) \in A_0$ , a contradiction. If for some ordinal  $\beta_0$ ,  $\alpha_0 = \beta_0 + 1$  were true, then by  $a_{\beta_0} \upharpoonright \alpha_0 = a_{\beta_0} \upharpoonright (\beta_0 + 1) = y_0 \upharpoonright (\beta_0 + 1) = y_0 \upharpoonright \alpha_0$ , we see  $a_{\beta_0}(\alpha_0) \in A_0$ , a contradiction.  $\square$

**Fact 3.**  $0\text{-cf}_{Y_0}(\leftarrow, y_0)_{Y_0} \geq \omega$ .

*Proof.* By  $A \neq \emptyset$  and Fact 1, we see  $(\leftarrow, y_0)_{Y_0} \neq \emptyset$  thus  $0\text{-cf}_{Y_0}(\leftarrow, y_0)_{Y_0} \geq 1$ . If  $0\text{-cf}_{Y_0}(\leftarrow, y_0)_{Y_0} = 1$  were true, then letting  $y_1 = \max(\leftarrow, y_0)_{Y_0}$  and  $\beta_0 = \min\{\beta < \alpha_0 : y_1(\beta) \neq y_0(\beta)\}$ , we see  $y_1 <_{Y_0} a_{\beta_0} \upharpoonright \alpha_0 <_{Y_0} y_0$ , a contradiction.  $\square$

Now Fact 1, 3 and Lemma 1.3 (3) show that  $Y_1 (= \prod_{\alpha_0 \leq \alpha} X_\alpha)$  has a minimal element and the 0-segment  $(\leftarrow, y_0)$  in  $Y_0$  is stationary. Then by Claim 11 (1),  $y_0 \wedge \langle \min X_\alpha : \alpha_0 \leq \alpha \rangle$  is the minimal element of  $B$  in  $X$ , which contradicts our case ‘‘Case 3’’.  $\square$

Let  $Z_0 = \prod_{\alpha \leq \alpha_0} X_\alpha$ ,  $Z_1 = \prod_{\alpha_0 < \alpha} X_\alpha$  and

$$A^* = \{z \in Z_0 : z \upharpoonright \alpha_0 <_{Y_0} y_0 \text{ or } (z \upharpoonright \alpha_0 = y_0 \text{ and } z(\alpha_0) \in A_0)\},$$

that is,  $A^* = (\leftarrow, y_0)_{Y_0} \times X_{\alpha_0} \cup \{y_0\} \times A_0$ . Note that when  $(\alpha_0, \gamma) = \emptyset$ ,  $Z_0$  is identified with  $X$  and also  $A^*$  is identified with  $A$ .

**Claim 14.**  $A^*$  is a 0-segment of  $Z_0$  and  $A = A^* \times Z_1$ .

*Proof.* It is straightforward to see that  $A^*$  is a 0-segment of  $Z_0$ . We prove  $A = A^* \times Z_1$ . First let  $a \in A$ , then by Claim 10(1), we have  $a \upharpoonright \alpha_0 \leq y_0$ . When  $a \upharpoonright \alpha_0 < y_0$ , obviously we have  $a \upharpoonright (\alpha_0 + 1) \in A^*$ . When  $a \upharpoonright \alpha_0 = y_0$ , by  $a \in A$ , we have  $a(\alpha_0) \in A_0$  therefore  $a \upharpoonright (\alpha_0 + 1) \in A^*$ . In either cases, we see  $a \in A^* \times Z_1$ . Next let  $a \in A^* \times Z_1$ . When  $a \upharpoonright \alpha_0 < y_0$ , letting  $\beta_0 = \min\{\beta < \alpha_0 : a(\beta) \neq y_0(\beta)\}$ , we see  $a < a_{\beta_0} \in A$  thus  $a \in A$ . When  $a \upharpoonright \alpha_0 = y_0$ , by  $a \upharpoonright (\alpha_0 + 1) \in A^*$ , we have  $a(\alpha_0) \in A_0$ . Now if  $a \in B$  were true, then by  $a \upharpoonright \alpha_0 = y_0$ , we have  $a(\alpha_0) \in B_0$ , which contradicts Claim 12. Thus we have  $a \in A$ .  $\square$

**Claim 15.** The following properties hold:

- (1)  $0\text{-cf}_{Z_0} A^* = 0\text{-cf}_{X_{\alpha_0}} A_0 \geq 1$ ,
- (2) the 0-segment  $A^*$  in  $Z_0$  is stationary iff the 0-segment  $A_0$  in  $X_{\alpha_0}$  is stationary.

*Proof.* Claim 13 shows  $0\text{-cf}_{X_{\alpha_0}} A_0 \geq 1$ . It follows from  $A^* = (\leftarrow, y_0)_{Y_0} \times X_{\alpha_0} \cup \{y_0\} \times A_0$  that  $\{y_0\} \times A_0$  is a 1-segment (final segment) of  $A^*$ . So other properties are almost obvious.  $\square$

Note that  $0\text{-cf}_{Z_0} A^* = 0\text{-cf}_X A$  is generally not true. The case “ $0\text{-cf}_{Z_0} A^* = 1$  and  $0\text{-cf}_X A \geq \omega$ ” can happen. But if  $0\text{-cf}_{Z_0} A^* \geq \omega$ , then  $0\text{-cf}_{Z_0} A^* = 0\text{-cf}_X A$ , see Lemma 1.3 (3a). So we divide Case 3 into two cases.

**Case 3-1.**  $0\text{-cf}_{Z_0} A^* \geq \omega$ .

When  $(\alpha_0, \gamma) = \emptyset$ , the 0-segment  $A (= A^*)$  is closed stationary. When  $(\alpha_0, \gamma) \neq \emptyset$ , by Lemma 1.3 (3b), the 0-segment  $A^*$  in  $Z_0$  is closed stationary and  $Z_1$  has a minimal element. Therefore we have:

**Claim 16.** The following properties hold:

- (1) the 0-segment  $A^*$  in  $Z_0$  is closed stationary,
- (2) if  $(\alpha_0, \gamma) \neq \emptyset$ , then  $Z_1$  has a minimal element.

Thus we see  $\sup J^- \leq \alpha_0$ .

**Claim 17.**  $A_0 \neq X_{\alpha_0}$ .

*Proof.* Assume  $A_0 = X_{\alpha_0}$ . Note  $\alpha_0 \in J^+$  from  $0\text{-cf}_{X_{\alpha_0}} X_{\alpha_0} = 0\text{-cf}_{X_{\alpha_0}} A_0 = 0\text{-cf}_{Z_0} A^* \geq \omega$ . Now it follows from Claims 15 and 16 that the 0-segment  $X_{\alpha_0}$  is stationary. If  $\alpha_0 = \beta_0 + 1$  were true for some ordinal  $\beta_0$ , then it follows from  $b_{\beta_0} \in B$  and  $b_{\beta_0} \upharpoonright \alpha_0 = b_{\beta_0} \upharpoonright (\beta_0 + 1) = y_0 \upharpoonright (\beta_0 + 1) = y_0 \upharpoonright \alpha_0$  that  $b_{\beta_0}(\alpha_0) \in B_0 = X_{\alpha_0} \setminus A_0 = \emptyset$ , a contradiction. Therefore we see that  $\alpha_0$  is 0 or a limit ordinal. This shows  $l(\alpha_0) = \alpha_0 \in J^+$  and so  $[l(\alpha_0), \alpha_0) \cap J^+ = \emptyset$ . Now by our assumption (2bi), the 0-segment  $X_{\alpha_0}$  is non-stationary, a contradiction.  $\square$

**Claim 18.**  $A_0$  is closed in  $X_{\alpha_0}$ .

*Proof.* Let  $u \in X_{\alpha_0} \setminus A_0 (= B_0)$  and set  $b = y_0 \wedge \langle u \rangle \wedge \langle \min X_\alpha : \alpha_0 < \alpha \rangle$ . Since  $b \in B$  and  $B$  is open in  $X$ , we can find  $b^* \in \hat{X}$  such that  $b^* <_{\hat{X}} b$  and  $(b^*, b)_{\hat{X}} \cap A = \emptyset$ . Let  $\beta_0 = \min\{\beta < \gamma : b^*(\beta) \neq b(\beta)\}$ . Then we have  $\beta_0 \leq \alpha_0$  because of  $b \upharpoonright (\alpha_0, \gamma) = \langle \min X_\alpha : \alpha_0 < \alpha \rangle$ . If  $\beta_0 < \alpha_0$  were true, then  $a_{\beta_0} \in (b^*, b)_{\hat{X}} \cap A$ , a contradiction. So we have  $\beta_0 = \alpha_0$ , that is  $b^* \upharpoonright \alpha_0 = y_0$  and  $b^*(\alpha_0) < u$ . If there were  $v \in (b^*(\alpha_0), \rightarrow)_{X_{\alpha_0}^*} \cap A_0$ , then  $y_0 \wedge \langle v \rangle \wedge \langle \min X_\alpha : \alpha_0 < \alpha \rangle \in (b^*, b)_{\hat{X}} \cap A$ , a contradiction. Therefore  $(b^*(\alpha_0), \rightarrow)_{X_{\alpha_0}^*} \cap X_{\alpha_0}$  is a neighborhood of  $u$  disjoint from  $A_0$ .  $\square$

It follows from Claims 15, 16, 17 and 18 that  $A_0$  is a bounded closed stationary 0-segment of  $X_{\alpha_0}$ , which contradicts our assumption (2a) because of  $\sup J^- \leq \alpha_0$ .

**Case 3-2.**  $0\text{-cf}_{Z_0} A^* = 1$ , that is,  $\max A^*$  exists.

In this case, note  $(\alpha_0, \gamma) \neq \emptyset$ , otherwise  $A = A^*$  and  $A$  has no maximal element, a contradiction. Also note  $\max A^* = y_0 \wedge \langle \max A_0 \rangle$  because of  $A^* = (\leftarrow, y_0)_{Y_0} \times X_{\alpha_0} \cup \{y_0\} \times A_0$ . Since  $A = A^* \times Z_1$ ,  $A$  has no maximal element but  $A^*$  has a maximal element, we see  $Z_1$  has no maximal element. So let  $\alpha_1 = \min\{\alpha_0 < \alpha : X_\alpha \text{ has no maximal element.}\}$ , then note  $\alpha_1 \in J^+$  and  $J^+ \cap (\alpha_0, \alpha_1) = \emptyset$ . Also note that  $A = (A^* \times \prod_{\alpha_0 < \alpha \leq \alpha_1} X_\alpha) \times \prod_{\alpha_1 < \alpha} X_\alpha$  and  $A^* \times \prod_{\alpha_0 < \alpha \leq \alpha_1} X_\alpha$  is a 0-segment of  $\prod_{\alpha \leq \alpha_1} X_\alpha$  having no maximal element. Since  $A$  is closed stationary in  $X$ , it follows from Lemma 1.3 (3) that  $A^* \times \prod_{\alpha_0 < \alpha \leq \alpha_1} X_\alpha$  is stationary, moreover  $\prod_{\alpha_1 < \alpha} X_\alpha$  has a minimal element whenever  $(\alpha_1, \gamma) \neq \emptyset$ . So we have  $\sup J^- \leq \alpha_1$ . Since  $\{y_0 \wedge \langle \max A_0 \rangle \wedge \langle \max X_\alpha : \alpha_0 < \alpha < \alpha_1 \rangle\} \times X_{\alpha_1}$  is a 1-segment (i.e., final segment) in  $A^* \times \prod_{\alpha_0 < \alpha \leq \alpha_1} X_\alpha$ , the 0-segment  $X_{\alpha_1}$  in  $X_{\alpha_1}$  is also stationary.

**Claim 19.**  $l(\alpha_1) \leq \alpha_0$  and  $J^+ \cap [l(\alpha_1), \alpha_0] \neq \emptyset$ , therefore  $J^+ \cap [l(\alpha_1), \alpha_1] \neq \emptyset$ .

*Proof.* If  $\alpha_0 < l(\alpha_1)$  were true, then it follows from  $J^+ \cap [l(\alpha_1), \alpha_1] \subset J^+ \cap (\alpha_0, \alpha_1) = \emptyset$  and our assumption (2bi) that  $X_{\alpha_1}$  is not stationary, a contradiction. So we see  $l(\alpha_1) \leq \alpha_0$ .

Next assume  $J^+ \cap [l(\alpha_1), \alpha_0] = \emptyset$ . It follows from  $J^+ \cap (\alpha_0, \alpha_1) = \emptyset$  that  $J^+ \cap [l(\alpha_1), \alpha_1] = \emptyset$ . Now by our assumption (2bi),  $X_{\alpha_1}$  has to be non-stationary, a contradiction.  $\square$

Noting that  $[l(\alpha_1), \alpha_1]$  is finite, let  $\alpha_2 = \max(J^+ \cap [l(\alpha_1), \alpha_1])$ . It follows from Claim 19 and  $J^+ \cap (\alpha_0, \alpha_1) = \emptyset$  that  $\alpha_2 \leq \alpha_0$ .

**Claim 20.**  $B_0$  has a minimal element.

*Proof.* In case  $\alpha_0 = \alpha_2$ , we see that  $A_0 \neq X_{\alpha_0}$  since  $\alpha_0 = \alpha_2 \in J^+$  and  $A_{\alpha_0}$  has a maximal element. In case  $\alpha_0 \neq \alpha_2$ , it follows that  $\alpha_0 = \beta_0 + 1$  for some ordinal  $\beta_0$  since  $l(\alpha_1) \leq \alpha_2 < \alpha_0 < \alpha_1$ , and we see that  $A_0 \neq X_{\alpha_0}$  in a similar way of Claim 17. In either case, we have  $A_0 \neq X_{\alpha_0}$ , so  $B_0$  is non-empty. Assume that  $B_0 (= (\max A_0, \rightarrow)_{X_{\alpha_0}})$  has no minimal element. Then we have  $\alpha_0 \in K^+$ , therefore  $\alpha_0 \in [\alpha_2, \alpha_1] \cap K^+$ . So by our assumption (2biii) and  $\sup J^- \leq \alpha_1$ ,  $X_{\alpha_1}$  has to be non-stationary, a contradiction.  $\square$

Now since  $B$  has no minimal element but  $B_0$  has a minimal element, there is  $\alpha < \gamma$  with  $\alpha_0 < \alpha$  such that  $X_\alpha$  has no minimal element (otherwise,  $\min B = y_0 \wedge \langle \min B_0 \rangle \wedge \langle \min X_\alpha : \alpha_0 < \alpha \rangle$ ). So let  $\alpha_3 = \min\{\alpha > \alpha_0 : X_\alpha \text{ has no minimal element.}\}$ . Then  $\alpha_3 \in J^-$ , so  $\alpha_2 \leq \alpha_0 < \alpha_3 \leq \sup J^- \leq \alpha_1$  and  $\alpha_3 \in J^-$ , i.e.,  $\alpha_3 \in J^- \cap (\alpha_2, \alpha_1]$ . It follows

from  $\sup J^- \leq \alpha_1$  and the assumption (2bii) that  $X_{\alpha_1}$  is non-stationary, a contradiction. This completes the proof of the Theorem.  $\square$

The theorem above with its analogy below gives a characterization of paracompactness of lexicographic products.

**Theorem 2.2.** *Let  $X = \prod_{\alpha < \gamma} X_\alpha$  be a lexicographic product of GO-spaces. Then the following are equivalent:*

- (1)  $X$  is 1-paracompact,
- (2) for every ordinal  $\alpha < \gamma$  with  $\sup J^+ \leq \alpha$ , the following hold:
  - (a)  $X_\alpha$  is boundedly 1-paracompact,
  - (b) in each of the following cases, the 1-segment  $X_\alpha$  is not stationary,
    - (i)  $J^- \cap [l(\alpha), \alpha) = \emptyset$ ,
    - (ii)  $J^- \cap [l(\alpha), \alpha) \neq \emptyset$  and  $J^+ \cap (\alpha', \alpha] \neq \emptyset$ ,
    - (iii)  $J^- \cap [l(\alpha), \alpha) \neq \emptyset$  and  $K^- \cap [\alpha', \alpha) \neq \emptyset$ ,

where  $\alpha' = \max(J^- \cap [l(\alpha), \alpha))$  in case  $J^- \cap [l(\alpha), \alpha) \neq \emptyset$ .

### 3. APPLICATIONS

In this section, we apply the theorems in the previous section. We first show the case that all GO-spaces  $X_\alpha$ 's have both a minimal and a maximal elements.

**Corollary 3.1.** *Let  $X_\alpha$  be a GO-space having both a minimal and a maximal elements for every  $\alpha < \gamma$ . Then the lexicographic product  $\prod_{\alpha < \gamma} X_\alpha$  is 0-paracompact if and only if for every  $\alpha < \gamma$ ,  $X_\alpha$  is 0-paracompact.*

*Proof.* Note that if all GO-spaces  $X_\alpha$ 's have both a minimal and a maximal elements, then  $J^- = \emptyset$  and “ $X_\alpha$  is boundedly 0-paracompact iff it is 0-paracompact”. Then the proof is almost obvious.  $\square$

This corollary with its analogous result shows:

**Corollary 3.2.** *Let  $X_\alpha$  be a GO-space having both a minimal and a maximal elements for every  $\alpha < \gamma$ . Then the lexicographic product  $\prod_{\alpha < \gamma} X_\alpha$  is paracompact if and only if for every  $\alpha < \gamma$ ,  $X_\alpha$  is paracompact.*

**Corollary 3.3.** *Let  $X_\alpha$  be a GO-space for every  $\alpha < \gamma$ . If  $\gamma$  is limit and  $\sup J^- = \sup J^+ = \gamma$ , then the lexicographic product  $\prod_{\alpha < \gamma} X_\alpha$  is paracompact.*

*Proof.* Let  $\gamma$  be limit. An ordinal  $\alpha < \gamma$  with  $\sup J^- \leq \alpha$  and  $\sup J^+ \leq \alpha$  cannot exist when  $\sup J^- = \sup J^+ = \gamma$ . Then apply the theorems in the previous section.  $\square$

This Corollary yields the following strange result, see also the example described page 73 in [2].

**Corollary 3.4.** *Let  $X_\alpha$  be a GO-space having neither a minimal nor a maximal elements for every  $\alpha < \gamma$ . If  $\gamma$  is limit, then the lexicographic product  $\prod_{\alpha < \gamma} X_\alpha$  is paracompact.*

**Corollary 3.5.** *Let  $X_\alpha$  be a GO-space for every  $\alpha < \gamma$ . If  $\gamma = \beta + 1$  for some ordinal  $\beta$  and  $X_\beta$  has neither a minimal nor a maximal elements, then the lexicographic product  $\prod_{\alpha < \gamma} X_\alpha$  ( $= \prod_{\alpha \leq \beta} X_\alpha$ ) is paracompact iff  $X_\beta$  is paracompact.*

*Proof.* Let  $\gamma = \beta + 1$  and  $X_\beta$  have neither a minimal nor a maximal elements. Note  $\sup J^- = \sup J^+ = \beta$ . Apply Theorems 2.1 and 2.2, noting (2a), (2bi) and (2bii) of them.  $\square$

**Corollary 3.6.** *Let  $X_\alpha$  be a GO-space having a minimal element for every  $\alpha < \gamma$ . Then the lexicographic product  $\prod_{\alpha < \gamma} X_\alpha$  is paracompact if and only if the following clauses hold:*

- (1) *for every  $\alpha < \gamma$ ,  $X_\alpha$  is boundedly 0-paracompact,*
- (2) *for every  $\alpha < \gamma$  in each of the following cases, the 0-segment  $X_\alpha$  is not stationary,*
  - $J^+ \cap [l(\alpha), \alpha) = \emptyset$ ,
  - $J^+ \cap [l(\alpha), \alpha) \neq \emptyset$  and  $K^+ \cap [\alpha', \alpha) \neq \emptyset$ , where  $\alpha' = \max(J^+ \cap [l(\alpha), \alpha))$ ,
- (3) *for every  $\alpha < \gamma$  with  $\sup J^+ \leq \alpha$ ,  $X_\alpha$  is 1-paracompact.*

*Proof.* Apply Theorems 2.1 and 2.2, noting that  $J^- = \emptyset$  and therefore the 1-segment  $X_\alpha$  is non-stationary for every  $\alpha < \gamma$ . Also remark that (1)+(2) is equivalent to 0-paracompactness of  $\prod_{\alpha < \gamma} X_\alpha$  and that (3) is equivalent to 1-paracompactness of  $\prod_{\alpha < \gamma} X_\alpha$ .  $\square$

If all  $X_\alpha$ 's are subspaces of ordinals, then note  $J^- = \emptyset$ ,  $K^+ = \emptyset$  and  $X_\alpha$ 's are (boundedly) 1-paracompact (because  $X_\alpha$ 's are well-order). So we have the result in [4].

**Corollary 3.7.** [4, Theorem 4.8] *Let  $X_\alpha$  be a subspace of an ordinal for every  $\alpha < \gamma$ . Then the lexicographic product  $\prod_{\alpha < \gamma} X_\alpha$  is paracompact if and only if the following clauses hold:*

- (1) *for every  $\alpha < \gamma$ ,  $X_\alpha$  is boundedly 0-paracompact,*
- (2) *for every  $\alpha < \gamma$  with  $J^+ \cap [l(\alpha), \alpha) = \emptyset$ , the 0-segment  $X_\alpha$  is not stationary.*

In [4], it is shown that a GO-space  $X$  is paracompact iff the lexicographic product  $X^n$  is paracompact for every (some)  $1 \leq n \in \omega$ .



Also this result can be shown from the corollaries above. The situation of the lexicographic product  $X^\omega$  is somewhat different from the finite case. Applying the theorems in the previous section, we easily see the following corollaries:

**Corollary 3.8.** *Let  $X$  be a GO-space and  $\gamma$  a limit ordinal. Then the following hold:*

- (1) *if  $X$  has both a minimal and a maximal elements, then the lexicographic product  $X^\gamma$  is paracompact iff  $X$  is paracompact,*
- (2) *if  $X$  has neither a minimal nor a maximal elements, then the lexicographic product  $X^\gamma$  is paracompact,*
- (3) *if  $X$  has a minimal element but has no maximal element, then the lexicographic product  $X^\gamma$  is paracompact iff  $X$  is 0-paracompact.*

**Corollary 3.9.** *Let  $X$  be a GO-space and  $\gamma$  a successor ordinal. Then the lexicographic product  $X^\gamma$  is paracompact iff  $X$  is paracompact,*

For two LOTS's  $X_0$  and  $X_1$ ,  $X_0 + X_1$  denotes the LOTS  $\langle X_0 \cup X_1, <_{X_0+X_1}, \lambda_{X_0+X_1} \rangle$ , where the linear order  $<_{X_0+X_1}$  extends both  $<_{X_0}$  and  $<_{X_1}$ , moreover satisfies  $x <_{X_0+X_1} x'$  for every  $x \in X_0$  and  $x' \in X_1$ . That is,  $X_0 + X_1$  is the resulting LOTS such that  $X_1$  is added after  $X_0$ . Also for a GO-space  $X = \langle X, <_X, \tau_X \rangle$ ,  $-X$  denotes the GO-space  $\langle X, >_X, \tau_X \rangle$  which is called the reverse of  $X$ , see [4].  $-X$  is topologically homeomorphic to  $X$ , because the identity map on  $X$  to  $-X$  ( $= X$ ) is 1-order preserving and homeomorphism.

**Example 3.10.** Note that the lexicographic product  $2 \times \omega_1$  is identified with  $\omega_1 + \omega_1$ , on the other hand the lexicographic product  $\omega_1 \times 2$  is identified with  $\omega_1$ . Note that  $\omega_1 + \omega_1$  is not topologically homeomorphic to  $\omega_1$ , because  $\omega_1$  is first countable but  $\omega_1 + \omega_1$  is not so. Also note that  $(-\omega_1) + \omega_1$  is not topologically homeomorphic to  $\omega_1$ , because  $(-\omega_1) + \omega_1$  has two disjoint uncountable closed subsets  $-\omega_1$  and  $\omega_1$  but there are no two disjoint club sets in  $\omega_1$ . Obviously  $(-\omega_1) + \omega_1$  is topologically homeomorphic but not order-isomorphic to  $\omega_1 + (-\omega_1)$ .

Since  $\omega_1$ ,  $(-\omega_1) + \omega_1$  and  $\omega_1 + (-\omega_1)$  are not paracompact, for every  $n \in \omega$  with  $1 \leq n$ , the lexicographic products  $\omega_1^n$ ,  $((-\omega_1) + \omega_1)^n$  and  $(\omega_1 + (-\omega_1))^n$  are not paracompact. Also note that the lexicographic products  $\omega_1 \times \mathbb{S}$  is paracompact but  $\mathbb{S} \times \omega_1$  is not paracompact. Now from the corollaries and theorems above, about lexicographic products we see (for products of ordinals, see [4] or Corollary 3.7) :

- $\omega_1^\omega$  is not paracompact but both  $\omega_1 \times (-\omega_1) \times \omega_1 \times (-\omega_1) \times \dots$  and  $(-\omega_1) \times \omega_1 \times (-\omega_1) \times \omega_1 \times \dots$  are paracompact,
- $(\omega_1 + \omega)^\omega$  is paracompact but  $(\omega_1 + \omega_1)^\omega$  is not paracompact

- $((-\omega_1) + \omega_1)^\omega$  is paracompact but  $(\omega_1 + (-\omega_1))^\omega$  is not paracompact,
- both  $\omega_1 \times \mathbb{S} \times \omega_1 \times \mathbb{S} \times \cdots$  and  $\mathbb{S} \times \omega_1 \times \mathbb{S} \times \omega_1 \times \cdots$  are paracompact,
- $\omega \times \omega_1 \times \omega_1$  is paracompact but both  $\omega \times (-\omega_1) \times \omega_1$  and  $\omega \times \omega_1 \times (-\omega_1)$  are not paracompact,
- both  $\omega \times \omega_1 \times \mathbb{I}$  and  $\mathbb{I} \times \omega \times \omega_1$  are paracompact but both  $\omega \times \mathbb{I} \times \omega_1$  and  $(-\omega) \times \mathbb{I} \times \omega_1$  are not paracompact.

**Question 3.11.** We consider the following property  $(*)_P$ , where  $P$  is a closed hereditary property, that is, a topological property so that if a topological space  $X$  has the property  $P$ , then all closed subspaces of  $X$  have also the property  $P$ .

$(*)_P$  : For every ordinal  $\gamma$ , if  $X_\alpha$  is a GO-space having the property  $P$  for every  $\alpha < \gamma$ , then the lexicographic product  $\prod_{\alpha < \gamma} X_\alpha$  also has the property  $P$ .

Note that if  $P$  is “compact” or “paracompact”, then  $P$  is closed hereditarily and  $(*)_P$  is true. We ask:

Find other closed hereditary properties  $P$ 's which make  $(*)_P$  true.

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