

# THE HEREDITARILY COLLECTIONWISE HAUSDORFF PROPERTY IN PRODUCTS OF $\omega_1$

YASUSHI HIRATA AND NOBUYUKI KEMOTO

ABSTRACT. In this paper, we will show that

- All subspaces of finite powers of  $\omega_1$  are collectionwise Hausdorff and  $\alpha$ -normal.
- There is a subspace of an infinite power of  $\omega_1$  which is neither collectionwise Hausdorff nor  $\alpha$ -normal.

Spaces are assumed to be regular  $T_1$ . A space  $X$  is Collectionwise Hausdorff (CWH) if every closed discrete subspace  $D$  of  $X$  is separated, that is, there is a pairwise disjoint collection  $\{U(x) : x \in D\}$  of open sets of  $X$  such that  $x \in U(x)$  for each  $x \in D$ . Disjoint closed sets  $F_0$  and  $F_1$  are separated if there are open sets  $U_0$  and  $U_1$  such that  $F_i \subset U_i$ ,  $i \in 2 = \{0, 1\}$ , and  $U_0 \cap U_1 = \emptyset$ . Disjoint closed sets  $F_0$  and  $F_1$  are  $\alpha$ -separated ( $\beta$ -separated) if there are open sets  $U_0$  and  $U_1$  such that  $\text{Cl}(F_i \cap U_i) = F_i$ ,  $i \in 2 = \{0, 1\}$ , and  $U_0 \cap U_1 = \emptyset$  ( $\text{Cl}U_0 \cap \text{Cl}U_1 = \emptyset$ , respectively). A space is normal ( $\alpha$ -normal,  $\beta$ -normal) if disjoint closed sets are separated ( $\alpha$ -separated,  $\beta$ -separated).  $\alpha$ -normality and  $\beta$ -normality are defined by Arkhangel'skiĭ and studied in [1, 4].

It is well known that the Tychonoff plank  $(\omega + 1) \times (\omega_1 + 1) \setminus \{(\omega, \omega_1)\}$  and  $\omega_1 \times (\omega_1 + 1)$  are not  $\alpha$ -normal, see [4, 2]. On the other hand, it is not difficult to show that these spaces are CWH. Moreover it is known that if  $A$  and  $B$  are disjoint stationary sets in  $\omega_1$ , then  $A \times B$  is not normal, see [3]. In this paper, we prove the results written in the abstract above.

As usual, an ordinal is equal to the set of all smaller ordinals, for example,  $n = \{0, 1, 2, \dots, n-1\}$  for each natural number  $n$ . The symbols  $\omega$  and  $\omega_1$  stand for the set of all finite and respectively all countable ordinals. For notational conveniences, we consider  $-1$  as the immediate predecessor of the smallest ordinal 0. As usual, we consider that ordinals have the usual order topology and product spaces have the usual Tychonoff product topology.

For  $A \subset \omega_1$ , put  $\text{Lim}(A) = \{\alpha < \omega_1 : \sup(A \cap \alpha) = \alpha\}$ ,  $\text{Succ}(A) = A \setminus \text{Lim}(A)$ ,  $\text{Lim} = \text{Lim}(\omega_1)$  and  $\text{Succ} = \text{Succ}(\omega_1)$ , where  $\sup \emptyset = -1$ . Observe that  $\text{Lim}(A)$  is closed and unbounded (club) in  $\omega_1$  whenever  $A$  is cofinal in  $\omega_1$ . For a club set  $C \subset \omega_1$  and  $\alpha < \omega_1$ , set  $p_C(\alpha) = \sup(C \cap \alpha)$ . Observe that  $p_C(\alpha) \in C \cup \{-1\}$  holds and  $p_C(\alpha) = \alpha$  iff  $\alpha \in \text{Lim}(C)$  is also holds. Note that if  $\alpha \in \text{Succ}(C)$ , then  $p_C(\alpha)$  is considered as the immediate predecessor of  $\alpha$  in  $C$ . It is easy to show  $\omega_1 \setminus C = \bigcup_{\alpha \in \text{Succ}(C)} (p_C(\alpha), \alpha)$ , where  $(\alpha, \beta)$  denotes the usual open interval.

For sets  $A$  and  $B$ ,  $B^A$  denotes the set of all functions on  $A$  to  $B$  and  $\mathcal{P}(A)$  denotes the set of all subsets of  $A$ .

---

1991 *Mathematics Subject Classification.* 54D15, 54B10.

*Key words and phrases.*  $\alpha$ -normal, product space, normal, ordinal space, stationary set.

**Remark.**  $X = \omega \times \omega_1 \cup \{\omega\} \times \text{Succ}$  is known to be non-normal by showing that  $F_0 = \{\omega\} \times \text{Succ}$  and  $F_1 = \omega \times \text{Lim}$  cannot be separated.

If  $X$  were  $\beta$ -normal, then  $F_0$  and  $F_1$  would be  $\beta$ -separated by open sets  $U_0$  and  $U_1$  respectively. Then, since  $F_0$  is closed discrete and  $\text{Cl}(F_0 \cap U_0) = F_0$ , actually  $U_0$  includes  $F_0$ . Since  $\text{Cl}U_0 \cap F_1 \subset \text{Cl}U_0 \cap \text{Cl}U_1 = \emptyset$ ,  $F_0$  and  $F_1$  are separated by  $U_0$  and  $X \setminus \text{Cl}U_0$ , a contradiction. Thus  $X$  is not  $\beta$ -normal. However Theorem 1 shows that  $X$  is  $\alpha$ -normal.

This example answers negatively, in ZFC, a problem posed by Arkhangel'skiĭ (see also Problem 7.39 of [4]):

Is a first countable, Tychonoff,  $\alpha$ -normal space normal?

**Theorem 1.** *All subspaces of finite powers of  $\omega_1$  are CWH and  $\alpha$ -normal.*

*Proof.* First we give a direct proof of the  $\alpha$ -normality. Let  $F_0$  and  $F_1$  be disjoint closed sets in  $X \subset \omega_1^n$ , where  $1 \leq n \in \omega$ . For each  $K \in \mathcal{P}(n) \setminus \{\emptyset\}$ ,  $s \in \omega_1^{n \setminus K}$  and  $\alpha < \omega_1$ , define  $x_{\alpha s} \in \omega_1^n$  by

$$x_{\alpha s}(k) = \begin{cases} s(k), & \text{if } k \in n \setminus K, \\ \alpha, & \text{if } k \in K. \end{cases}$$

Moreover for each  $Y \subset \omega_1^n$ , set

$$V_s(Y) = \{\alpha < \omega_1 : x_{\alpha s} \in Y\}.$$

For each  $K \in \mathcal{P}(n) \setminus \{\emptyset\}$  and  $s \in \omega_1^{n \setminus K}$ , take a club set  $C_s$  in  $\omega_1$  such that

- if  $i \in 2$  and  $V_s(F_i)$  is cofinal, then  $C_s \subset \text{Lim}(V_s(F_i))$ ,
- if  $i \in 2$  and  $V_s(F_i)$  is non-stationary in  $\omega_1$ , then  $V_s(F_i) \cap C_s = \emptyset$ .

Then it is straightforward to show that

$$C = \{\alpha < \omega_1 : \forall K \in \mathcal{P}(n) \setminus \{\emptyset\} \forall s \in \omega_1^{n \setminus K} (\alpha \in C_s)\}$$

is a club set in  $\omega_1$ .

Now for each  $\alpha \in \text{Succ}(C)$  and  $K \in \mathcal{P}(n) \setminus \{\emptyset\}$ , let

$$X(\alpha, K) = \{x \in X : \forall k \in n \setminus K (x(k) \leq p_C(\alpha)), \forall k \in K (p_C(\alpha) < x(k) \leq \alpha)\}.$$

Then  $\{X(\alpha, K) : \alpha \in \text{Succ}(C), K \in \mathcal{P}(n) \setminus \{\emptyset\}\}$  is a pairwise disjoint collection of open sets in  $X$ . Since each  $X(\alpha, K)$  is countable (hence normal), there are disjoint open sets  $U_0(\alpha, K)$  and  $U_1(\alpha, K)$  in  $X$  such that  $X(\alpha, K) \cap F_i \subset U_i(\alpha, K) \subset X(\alpha, K)$ ,  $i \in 2$ . Set for each  $i \in 2$ ,

$$U_i = \bigcup \{U_i(\alpha, K) : \alpha \in \text{Succ}(C), K \in \mathcal{P}(n) \setminus \{\emptyset\}\}.$$

Since  $U_0$  and  $U_1$  are disjoint, it suffices to show that  $F_i \subset \text{Cl}(F_i \cap U_i)$ . To do this, let  $x \in F_i$  and  $\alpha = \min\{\alpha \in C : \max\{x(k) : k \in n\} \leq \alpha\}$ .

*Case 1.*  $\alpha \in \text{Succ}(C)$ .

Let  $K = \{k \in n : p_C(\alpha) < x(k) \leq \alpha\}$ . Then  $K \neq \emptyset$  and  $x \in X(\alpha, K) \cap F_i \subset F_i \cap U_i \subset \text{Cl}(F_i \cap U_i)$ .

*Case 2.*  $\alpha \in \text{Lim}(C)$ .

Since  $x(k) = \alpha$  for some  $k \in n$ ,  $K = \{k \in n : x(k) = \alpha\}$  is not empty. Set  $s = x \upharpoonright (n \setminus K)$ . Then  $s \in \omega_1^{n \setminus K}$  and by the definition of  $C$ , we have  $\alpha \in C_s \cap V_s(F_i)$ . Moreover by the definition of  $C_s$ ,  $V_s(F_i)$  is stationary hence cofinal in  $\omega_1$ , thus we

have  $C_s \subset \text{Lim}(V_s(F_i))$ . Let  $W$  be a neighborhood of  $x$ , then there is  $\gamma < \alpha$  such that  $s \in \gamma^{n \setminus K}$  and  $X \cap \{x_{\beta s} : \beta \in (\gamma, \alpha]\} \subset W$ . Since  $\gamma < \alpha \in \text{Lim}(C)$ , there is  $\delta \in \text{Succ}(C)$  such that  $\gamma < p_C(\delta) < \delta < \alpha$ . Then it follows from  $\delta \in C \cap \alpha$  and  $s \in \delta^{n \setminus K}$  that  $\gamma < p_C(\delta) < \delta \in C_s \subset \text{Lim}(V_s(F_i))$ . Pick  $\beta \in V_s(F_i)$  with  $p_C(\delta) < \beta < \delta$ . Then

$$\begin{aligned} x_{\beta s} &\in W \cap F_i \cap X(\delta, K) \subset \\ &W \cap F_i \cap U_i(\delta, K) \subset W \cap F_i \cap U_i. \end{aligned}$$

Thus  $x \in \text{Cl}(F_i \cap U_i)$ .

Next we show the CWH-ness. This proof is almost similar to the proof above, so we only give its abstract proof. Let  $D$  be a closed discrete subspace of  $X \subset \omega_1^n$ . For each  $K \in \mathcal{P}(n) \setminus \{\emptyset\}$  and  $s \in \omega_1^{n \setminus K}$ , since  $V_s(D)$  is not stationary, take a club set  $C_s$  in  $\omega_1$  which is disjoint from  $V_s(D)$ . Similarly as above let

$$C = \{\alpha < \omega_1 : \forall K \in \mathcal{P}(n) \setminus \{\emptyset\} \forall s \in \alpha^{n \setminus K} (\alpha \in C_s)\},$$

$$X(\alpha, K) = \{x \in X : \forall k \in n \setminus K (x(k) \leq p_C(\alpha)), \forall k \in K (p_C(\alpha) < x(k) \leq \alpha)\}$$

for each  $\alpha \in \text{Succ}(C)$  and  $K \in \mathcal{P}(n) \setminus \{\emptyset\}$ . Moreover let

$$U = \bigcup \{X(\alpha, K) : \alpha \in \text{Succ}(C), K \in \mathcal{P}(n) \setminus \{\emptyset\}\}.$$

Then as in the proof of  $F_i \subset \text{Cl}(F_i \cap U_i)$  above, we can also show  $D \subset U$ . Since  $\{X(\alpha, K) : \alpha \in \text{Succ}(C), K \in \mathcal{P}(n) \setminus \{\emptyset\}\}$  is a pairwise disjoint collection of countable regular (hence CWH) open subspaces of  $X$ ,  $U$  is also CWH. Since  $D$  is separated in  $U$ , it is in fact separated in  $X$ .

J. E. Porter [6] informed us that scattered hereditarily CWH spaces are hereditarily  $\alpha$ -normal, see [5], so we can also get hereditarily  $\alpha$ -normality of finite powers of  $\omega_1$  from its hereditarily CWH-ness.  $\square$

**Remark.** Note that the Tychonoff Plank and  $\omega_1 \times (\omega_1 + 1)$  are scattered CWH but not  $\alpha$ -normal spaces.

**Theorem 2.** *There is a subspace of the product of denumerably many copies of  $\omega_1$  which is neither CWH nor  $\alpha$ -normal.*

*Proof.* Let  $\{S_n : n \in \omega\}$  be a decreasing sequence of stationary sets in  $\omega_1$  with the empty intersection. We will show that the closed subspace

$$X = \{x \in \prod_{n \in \omega} S_n : \forall n \in \omega (x(n) \leq x(n+1))\}$$

of  $\prod_{n \in \omega} S_n$  is neither CWH nor  $\alpha$ -normal.

For each  $n \in \omega$  and  $\alpha \in S_n$ , fix  $x_{\alpha n} \in X$  such that

$$\alpha = x_{\alpha n}(0) = \cdots = x_{\alpha n}(n) < x_{\alpha n}(n+1)$$

and let

$$\gamma(\alpha, n) = \sup\{x_{\alpha n}(k) : k \in \omega\}.$$

Then

$$C = \text{Lim}(S_0) \cap \bigcap_{n \in \omega} \{\beta < \omega_1 : \forall \alpha \in S_n \cap \beta (\gamma(\alpha, n) < \beta)\}$$

is a club set in  $\omega_1$ . Since  $C \subset \text{Lim}(S_0)$ ,  $|S_0 \setminus C| = \omega_1$  holds. So take an uncountable subset  $E \subset S_0 \setminus C$  such that  $p_C(\alpha) \neq p_C(\alpha')$  whenever  $\alpha \neq \alpha' \in E$ .

Let

$$F_0 = \{x_{\alpha 0} : \alpha \in E\}, F_1 = \bigcup_{n \in \omega} \{x_{\alpha n} : \alpha \in S_n \cap C\}.$$

Obviously  $F_0$  and  $F_1$  are disjoint. We show:

**Claim.** Both  $F_0$  and  $F_1$  are closed discrete.

*Proof.* Let  $x \in X$ ,  $\beta = x(0)$  and  $n \in \omega$  be the smallest  $n$  such that  $x(n) < x(n+1)$ .

*Case 1.*  $\beta \in C$ .

Consider the open neighborhood

$$U = \{y \in X : \forall k \leq n(y(k) \leq \beta), y(n+1) \in (\beta, x(n+1))\}$$

of  $x$ . If  $\beta < \alpha \in S_m$  and  $m \in \omega$ , then obviously  $x_{\alpha m} \notin U$  holds. If  $\beta > \alpha \in S_m$  and  $m \in \omega$ , then by  $\alpha < \beta \in C$ , we have  $\gamma(\alpha, m) < \beta$ . Therefore  $x_{\alpha m}(n+1) < \beta$  so  $x_{\alpha m} \notin U$  holds. If  $\beta = \alpha \in S_m$  and  $n \neq m \in \omega$ , then obviously  $x_{\alpha m} \notin U$  holds. This argument shows  $|U \cap F_1| \leq 1$ . Similarly we can show  $U \cap F_0 = \emptyset$ .

*Case 2.*  $\beta \notin C$ .

In this case, the open neighborhood

$$U = \{y \in X : y(0) \in (p_C(\beta), \beta)\}$$

of  $x$  misses  $F_1$  and satisfies  $|U \cap F_0| \leq 1$ .

Assume that  $F_0$  and  $F_1$  are  $\alpha$ -separated by  $U_0$  and  $U_1$  respectively. By the Claim, in fact,  $F_0$  and  $F_1$  are separated by  $U_0$  and  $U_1$ . For each  $\alpha \in E$ , by  $x_{\alpha 0} \in U_0$ , there is  $n(\alpha) \in \omega$  such that

$$V_\alpha = \{y \in X : \forall k \leq n(\alpha)(x_{\alpha 0}(k) = y(k))\} \subset U_0.$$

Then there are an uncountable subset  $E' \subset E$  and  $n \in \omega$  such that  $n(\alpha) = n$  for all  $\alpha \in E'$ . Pick  $\beta \in \text{Lim}(E') \cap C \cap S_n$ , then  $x_{\beta n} \in F_1 \subset U_1$ .

Since  $U_1$  is open, there are  $i > n$  and  $\gamma < \beta$  such that

$$V = \{y \in X : \forall k \leq n(y(k) \in (\gamma, \beta]), n < \forall k \leq i(y(k) = x_{\beta n}(k))\} \subset U_1.$$

By  $\gamma < \beta \in \text{Lim}(E')$ , fix  $\alpha \in E'$  with  $\gamma < \alpha < \beta$ . Let

$$x(k) = \begin{cases} x_{\alpha 0}(k), & \text{if } k \leq n, \\ x_{\beta n}(k), & \text{if } k > n. \end{cases}$$

Then  $x \in V_\alpha \cap V \subset U_0 \cap U_1$ , a contradiction. This shows that  $X$  is not  $\alpha$ -normal.

Now, consider the closed discrete subspace  $D = F_0 \cup F_1$ . If  $D$  were separated by  $\{U(x) : x \in D\}$ , then  $F_0$  and  $F_1$  would be separated by  $\bigcup\{U(x) : x \in F_0\}$  and  $\bigcup\{U(x) : x \in F_1\}$ , a contradiction. This shows that  $X$  is not CWH. This completes the proof.  $\square$

By Theorem 1 and a result in [3], if  $A$  and  $B$  are disjoint stationary sets in  $\omega_1$ , then  $A \times B$  is CWH and  $\alpha$ -normal but not normal. In connection with Theorem 2, it is natural to ask:

**Problem.** If  $\{S_n : n \in \omega\}$  is a pairwise disjoint sequence of stationary sets in  $\omega_1$ , then is the product  $\prod_{n \in \omega} S_n$  neither CWH nor  $\alpha$ -normal?

**Acknowledgements**

The authors thank professor J. E. Porter for his valuable comments.

REFERENCES

- [1] A. V. Arkhangel'skiĭ and L. D. Ludwig, On  $\alpha$ -normal and  $\beta$ -normal spaces, *Comment. Math. Univ. Carolin.* **42** (2001), 507–519.
- [2] R. Z. Buzyakova, Comments with L. D. Ludwig, unpublished work.
- [3] N. Kemoto, H. Ohta and K. Tamano, Products of spaces of ordinal numbers, *Topology Appl.* **45** (1992), 245–260.
- [4] L. D. Ludwig, Two generalizations of normality:  $\alpha$ -normality and  $\beta$ -normality, Doctorial dissertation.
- [5] P. J. Nyikos and J. E. Porter, Hereditarily strongly cwH and  $\text{wD}(\aleph_1)$  in relation to other separation properties, preprint.
- [6] J. E. Porter, comments.

GRADUATE SCHOOL OF MATHEMATICS, UNIVERSITY OF TSUKUBA, IBARAKI 305-8571, JAPAN  
*E-mail address:* `yhira@jb3.so-net.ne.jp`

DEPARTMENT OF MATHEMATICS, FACULTY OF EDUCATION, OITA UNIVERSITY, DANNOHARU,  
OITA, 870-1192, JAPAN  
*E-mail address:* `nkemoto@cc.oita-u.ac.jp`